

July 2023

R01: *Financial services, regulation and ethics* study text, 2023–24 edition

This edition of the study text is based on the 2023–24 examination syllabus and forms the study material for exams to be sat from 1 September 2023 until 31 August 2024.

Updates and amendments have been made throughout the text; where relevant, web updates issued for the 2022–23 edition have been included.

A summary of where the principal changes have been made is given below:

Chapter 1: sections A1, A2 and D.

Chapter 2: section F3B.

Chapter 3: sections E4B, E4C, F2 and F4.

Chapter 4: sections C, C8 and D2.

Chapter 6: sections C4B and C7.

Chapter 7: sections B3B, B6, D2, D4, D5 and G2.

Chapter 8: section A8B and new sections A4, A5C and B7.

Chapter 9: sections B and C1. Section C1G deleted.

Chapter 10: sections A and C1.

Chapter 11: section C2. Section D3 deleted.

Questions/answers, websites, key terms/points, cases, legislation and index: amended where relevant.

We hope this information is helpful to you.

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